

CdTe oxide films grown by radio frequency sputtering utilizing argon-nitrous oxide plasma

A. Zapata-Navarro, M. Zapata-Torres, Victor Sosa, P. Bartolo-Perez, and J. L. Peña
Department of Applied Physics, CINVESTAV-IPN, Unidad Mérida, A. P. 73 Cordemex, Mérida Yuc., 97310, México

(Received 15 October 1993; accepted 2 January 1994)

Cadmium telluride oxide films (CdTe:O) were grown by a radio frequency sputtering technique using a controlled plasma (Ar-N₂O) on glass slide substrates. The films were studied by Auger electron spectroscopy, x-ray photoelectron spectroscopy, and x-ray diffraction. We demonstrate that with N₂O the oxidation process occurs in a narrow range of partial pressures of nitrous oxide. We find that the films are amorphous and the amount of oxygen incorporated in the films depends on the N₂O partial pressure and saturates at about 55 at. % oxygen. As the amount of oxygen increases the number of Te-O and Cd-O bonds increases. The compounds formed depend on the partial pressure of the N₂O and are of the form Cd_xTe_yO_z. When saturation of oxygen is obtained the compound formed is probably CdTe₂O₅.

INTRODUCTION

Oxide films have practical applications, e.g., Si and GaAs oxides have been used in metal/insulator/semiconductor and semiconductor/insulator/semiconductor devices.¹⁻⁵ The surface passivation of III-V and II-VI semiconductors has been a major problem in the fabrication of devices. Nitrous oxide (N₂O) has been employed as an excellent oxidizing agent; e.g., silicon dioxide films were prepared by photolysis of disilane (Si₂H₆) with N₂O,⁶ by plasma-enhanced chemical vapor deposition (PECVD) using a gaseous mixture of silane (SiH₄) and N₂O,⁷ and by downstream microwave plasma-enhanced chemical vapor deposition using a gaseous mixture of tetraethoxysilane (TEOS) and N₂O.⁸ And recently an oxidation process in hydrogenated amorphous silicon (a-Si:H) using nitrous oxide (N₂O) plasma has been developed.⁹

CdTe oxide can have many practical applications, and there have been many studies of oxide formation on CdTe and Hg_xCd_{1-x}Te surfaces.¹⁰⁻¹⁷ The growth of CdTe oxide films is possible by rf sputtering deposition with a controlled plasma (Ar-O-N).¹⁸ In this work we have grown CdTe oxide films using rf sputtering deposition with a controlled plasma (Ar-N₂O). The concentration of elements was determined by Auger electron spectroscopy (AES) and the chemical bonding was determined by x-ray photoelectron spectroscopy (XPS). We found that films are amorphous by x-ray diffraction (XRD).

II. EXPERIMENTAL DETAILS

The films were deposited on Corning 7059 glass slides by magnetron rf sputtering using a CdTe target with 99.99 at. % purity in an argon-nitrous oxide atmosphere. The base pressure was 1.5×10^{-6} Torr and the gases used were Ar from Linde with 99.999 at. % purity and N₂O from MG-Industries with 99.999 at. % purity. A series of 10 samples were grown with the total pressure in the chamber at 1×10^{-2} Torr; the N₂O partial pressure was varied in the range from 8×10^{-6} to 9×10^{-4} Torr. The substrate was kept at room temperature

and the rf power was 30 W. Before the deposition, the target was sputter-cleaned for 15 min. The growth times were 20 min.

AES and XPS analysis were performed in a Perkin-Elmer PHI 560/ESCA-SAM system, with a base pressure of approximately 2×10^{-9} Torr. AES spectra were obtained with a 2 keV electron beam with typically 0.2 μ A current incident at 60° to the surface normal in the E*N(E) mode and numerically differentiated using a 5 point convolution. No further smoothing of the signal was attempted. A scanning step of 0.5 eV/step with an interval of 50 ms was utilized. Under these conditions, the instrumental resolution width, W_r , and the natural width, W_i , for all the elements measured, had a ratio $W_r/W_i < 0.3$. For determining atomic concentrations we obtained sensitivity factors for Cd, and Te from a CdTe polycrystal with 99.99 at. % purity from Balzers and for O from CdO mesh with 99 at. % purity from Merck. These results are $S_{Cd}=1$, $S_{Te}=0.68$, and $S_O=0.26$. All Auger measurements were obtained in the scanning mode on three different points of each sample, and from average values, the composition was determined. XPS and x-ray AES spectra were done in the XPS mode; the x-ray target employed was a Mg anode with a characteristic x-ray energy of 1253.6 eV. XPS and x-ray AES spectra were obtained under two different conditions: (i) a survey spectrum mode in which the spectral resolution was 0.5 eV, and (ii) a multiplex repetitive scan mode in which the resolution was increased to 0.2 eV. Cleaning of the surface prior to both measurements was done with Ar⁺ sputtering during 5 minutes with a beam energy of 4 keV and a beam current of 0.36 μ A/cm².

The x-ray spectra were measured by using a Siemens D500 diffractometer. The source was Cu (K_{α} , $\lambda=0.15406$ nm).

III. RESULTS AND DISCUSSION

The 10 films deposited for various partial pressures of N₂O have different colors; the films show a change in color from dark gray to pale yellow as the oxygen content increases up to about 52 at. %. When the sample is saturated

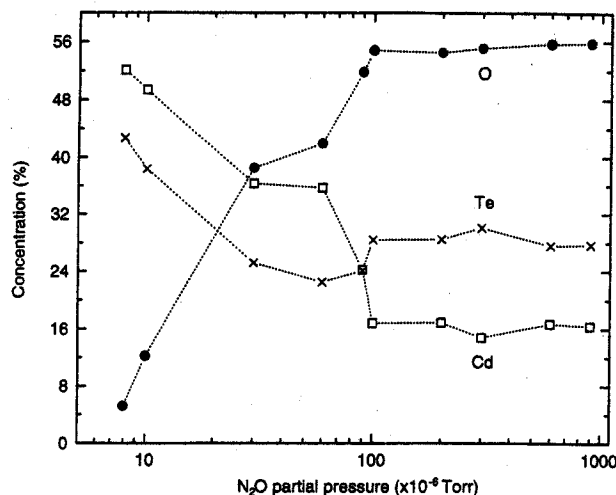


FIG. 1. Atomic concentration in the CdTe:O samples as measured by AES vs N_2O partial pressure.

with oxygen (at about 55 at. %) it is transparent like a glass. In all samples the x-ray diffraction patterns show an amorphous character with the incorporation of oxygen in the CdTe lattice. The films are completely amorphous maybe as a consequence of a homogeneous mixture of the three elements present, indicating the absence of segregated phases. In Fig. 1 we show the results from AES analysis for the atomic concentration of detected elements as a function of the N_2O partial pressure. As the N_2O partial pressure increases from 8×10^{-6} to 6×10^{-5} Torr, the concentration of oxygen increases while Te and Cd decrease, with the amount of Cd greater than Te. At an N_2O partial pressure of 9×10^{-5} Torr, the oxygen continues increasing and the amount of Cd and Te become equal. At an N_2O partial pressure of 1×10^{-4} Torr and up the samples are saturated with oxygen (about 55 at. %). The concentration of Cd and Te remain stable, and the concentration of Te is greater than Cd. We cannot grow a film with more oxygen, as shown in Fig. 1.

Additionally, a film was grown without N_2O . This film is CdTe with 47.8 at. % Cd, 48.5 at. % Te, and 3.6 at. % O. This film is polycrystalline with two different phases, cubic and hexagonal, giving evidence that the presence of oxygen induces structural changes disordering the original lattice.

In order to analyze the nature of the bonding of Cd, Te, and O atoms in the films these were studied by XPS and x-ray AES. We are interested in the qualitative and quantitative nature of bonding of the three elements. Figure 2 shows the multiplex scans for the films grown with different N_2O partial pressures, for the Te $3d_{5/2}$ and $3d_{3/2}$ features. From the literature,^{19,20} we associated the peaks with chemical states of Te. In this case, a chemical shift of about 3.2 eV between the Te-Cd in the semiconductor and Te-O in the anodic oxide allows the two states to be measured independently, based on previously reported XPS studies of Te-Cd bonding in CdTe and Te-O bonding in TeO_2 , TeO_3 , Na_2TeO_4 , etc.^{19,20} In Fig. 2 we show the spectral changes in the Te $3d_{5/2}$ and Te $3d_{3/2}$ features for the different partial pressures of N_2O and we present the oxygen concentration for the films. In this

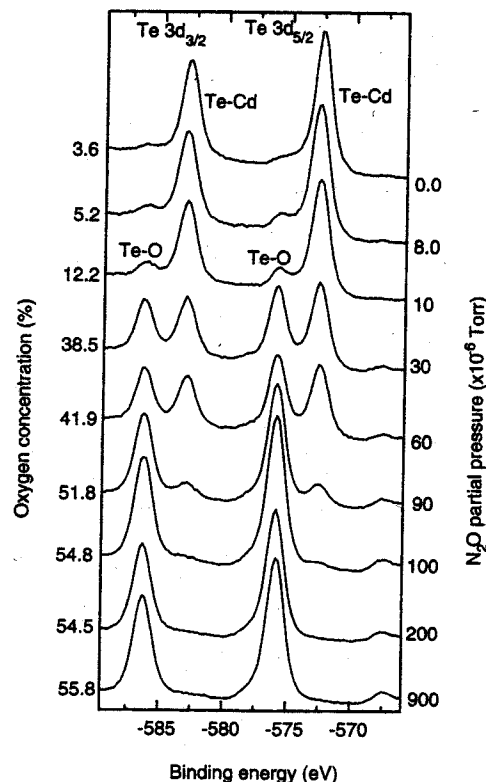


FIG. 2. Te 3d XPS spectra vs oxygen concentration and N_2O partial pressure for CdTe:O.

figure the peaks related to Te-Cd bonds decrease and the peaks related to Te-O bonds increase with the partial pressure of N_2O . At a partial pressure of 1×10^{-4} Torr the Te-Cd bonds disappear and the films only contain Te-O bonds.

In order to analyze the quantitative nature of bonding of Te, we fitted a gaussian curve to the Te $3d_{5/2}$ peaks and estimated the amount of Te-Cd and Te-O bonds from the percent of the area of each peak (Te-Cd and Te-O) in the Te $3d_{5/2}$ peaks. The variation of content of Te-Cd and Te-O bonds with the oxygen concentration is shown in Fig. 3. This figure shows that when the oxygen increases from about 5 to about 42 at. %, the Te-Cd bonds decrease from ~84 to ~48 at. % and Te-O bonds increase from ~12 to ~52 at. %. For an oxygen concentration ~52 at. %, Te-Cd bonds are ~9 at. %, and Te-O bonds are 81 at. %. At an oxygen concentration ~55 at. % there exist only Te-O bonds.

Figure 4 shows the Cd $M_{45}N_{45}N_{45}$ and $M_{55}N_{45}N_{45}$ x-ray AES features (i.e., x-ray induced Auger transitions involving the M and N levels of Cd). We studied these features instead of XPS features because the splitting between Cd-Te and Cd-O core levels, as revealed in the XPS spectra, is considerably smaller than the splitting between the Te-Cd and Te-O satellite components of the core levels.^{19,20} We do not find any oxide-induced doublet structures, possibly because we do not have sufficient resolution in the PHI 560 instrument to resolve them, and as such we have used x-ray AES features to discriminate between Cd-Te and Cd-O bonding.¹⁵⁻¹⁷ The Cd MNN x-ray AES features are at different energies for Cd-Te and Cd-O bonding in CdTe and CdO

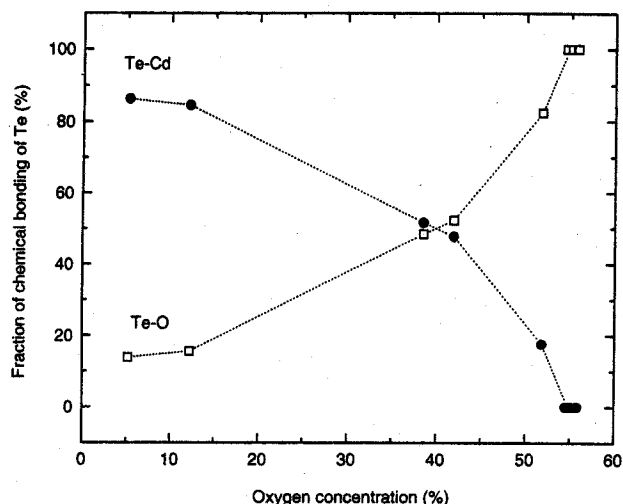


FIG. 3. Fraction of Te-Cd and Te-O bonds in CdTe:O samples vs oxygen concentration.

or CdTeO₃, respectively.^{15-17,19,20} The Cd *MNN* x-ray AES features do not display a distinct doublet for Cd-Te and Cd-O bonding as do the Te XPS features for Te-Cd and Te-O bonding. Instead, these features shift in energy and change in shape as a transition occurs between Cd-Te and Cd-O bonding. The Cd *MNN* x-ray AES features in the Fig. 4 show a shift in energy of about 1 eV, and change in shape with the partial pressure of N₂O. This change occurs for a

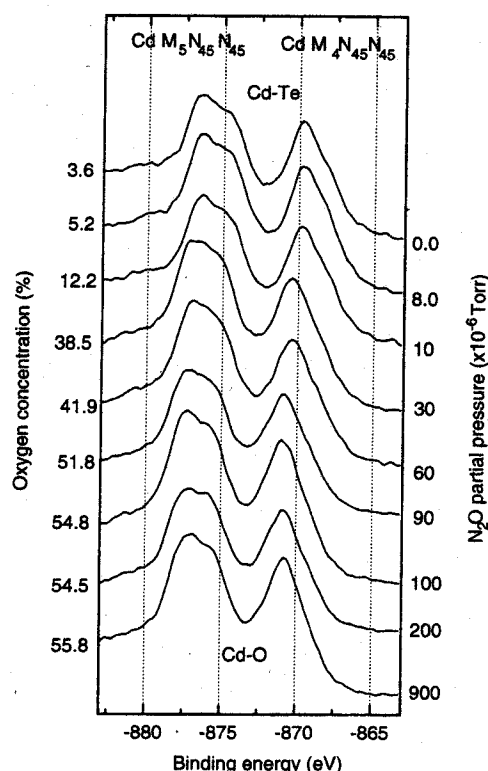


FIG. 4. Cd *MNN* x-ray AES spectra vs oxygen concentration and N₂O partial pressure for CdTe:O.

N₂O partial pressure from 8×10^{-6} to 9×10^{-5} Torr and the shape is similar to the spectrum of tellurite in the mono-tellurite reported by Davis *et al.*¹⁶ At N₂O partial pressures from 1×10^{-4} to 9×10^{-4} Torr the energy and shape of the Cd *MNN* x-ray AES features are constant. The total shift of this Cd *MNN* x-ray peak is about 1 eV and this change is related to the change in Cd bond from Cd-Te to Cd-O.^{17,19,20}

The N₂O partial pressure modifies the concentration of the elements in the films, resulting in three regions. At a partial pressure of N₂O of 8×10^{-6} Torr, the film has about 5 at. % oxygen; this oxygen is sufficient for the film to become amorphous. The amount of Cd is about 52 at. % and Te is about 43 at. %, so we conclude that the oxygen was incorporated in the film substituting for Te. At N₂O partial pressures from 8×10^{-6} to 6×10^{-5} Torr, the amount of O increases while Te and Cd decrease with the N₂O partial pressure, as shown in Fig. 1. Figure 2 shows that Te-O bonds increase considerably with partial pressure of N₂O, and at 6×10^{-5} Torr the peaks related to Te-O bonds are bigger than those for Te-Cd. In this region, as we pointed out earlier, the content of Te-Cd bonds decreases from ~84 to ~48 at. % and Te-O bonds increase from ~12 to ~52 at. %. Figure 4 shows that the Cd *MNN* x-ray AES feature shifts gradually to higher binding energies and changes in shape; we attribute the change to the transition between Cd-Te and Cd-O bonding.¹⁷ This leads us to propose formation of a ternary material with the chemical formula Cd_xTe_yO_z, and not (CdTe)_{1-x}O_x, as suggested by Espinoza-Beltrán *et al.*²¹ When the partial pressure of N₂O increases the oxygen incorporation into the CdTe lattice involves substitution of both Cd and Te, with a preference to substitute for Te in the lattice.

At an N₂O partial pressure of 9×10^{-5} Torr the concentrations of the elements are about 24 at. % for Cd and Te, and about 52 at. % for the oxygen; in this film the Te-O bonds are more common than the Te-Cd bonds (about 80 at. % of the Te bonds are Te-O). This behavior suggests that there exists a transition region. The phase diagram of the Cd-Te-O system by Helms²² indicates that at these concentrations the oxide would consist of a mixture of CdTe and CdTeO₃ at equilibrium, and not a mixture of TeO₂ and CdO. Accordingly we propose that this film is basically CdTeO₃ with residual CdTe, having the short-range order of the amorphous state. The films are pale yellow in color, in accord with a crystal of CdTeO₃ reported by Robertson *et al.*²³

At an N₂O partial pressure 1×10^{-4} Torr, the oxygen concentration reaches saturation. The concentration of Te in these films is twice the concentration of Cd and the concentration of O is about four times the concentrations of Cd. We pointed out earlier that the concentration of elements was obtained utilizing Auger sensitivity factors from CdTe and CdO and not from a ternary compound like CdTeO₃ or CdTe₂O₅. Such saturation can be understood because the film forms a material with maximum oxygen content like CdTe₂O₅. The Te-Cd bonds disappear and the Cd *MNN* x-ray AES feature shifts about 1 eV and changes shape from the Cd *MNN* x-ray AES feature of the film grown without N₂O. This shape is similar to that reported for CdTeO₃ by Davis *et al.*¹⁶ The phase diagram of the CdO-TeO₂ system

by Robertson *et al.*²³ indicates that at these concentrations of Cd, Te, and O, the oxide would consist of a mixture of CdTeO₃ and CdTe₂O₅ in equilibrium. Accordingly, we propose that the material grown in these films is basically CdTe₂O₅ in an amorphous state. This oxide was reported by Davis *et al.*¹⁶ in anodic oxide on Hg_{0.8}Cd_{0.2}Te.

IV. CONCLUSIONS

In this article we have shown that *a*-CdTe:O films can be grown by rf sputtering with a controlled plasma (Ar-N₂O). The film growth depends on the partial pressure of N₂O. There are three regions. (i) At a partial pressure of N₂O from 8×10^{-6} to 6×10^{-5} Torr the oxygen content increases, and the Cd and Te content decreases, showing that there is a substitution of Cd and Te by O in the original CdTe lattice. The effect of introducing oxygen is the generation of strong structural deformation in the lattice due to the small oxygen atomic size and high reactivity with cadmium and tellurium resulting in shorter bonds in the amorphous state as those in the crystalline lattice. The resulting material is an amorphous homogeneous mixture that can be represented by chemical formula Cd_xTe_yO_z. (ii) At a partial pressure of N₂O of 9×10^{-5} Torr the oxygen concentration continues increasing and Cd and Te concentrations are equal, and it is concluded that the material formed is basically *a*-CdTeO₃. (iii) At 1×10^{-4} Torr the oxygen concentration reaches saturation and the material obtained is basically *a*-CdTe₂O₅.

ACKNOWLEDGMENTS

The authors would like to thank Edgar Alonzo-Flores for help with the AES and XPS measurement, J. Antonio Azamar-Barrios and Instituto de Investigación en Materiales-UNAM for the x-ray diffraction measurement, Victor Rejón

and Mario Herrera for technical assistance. We also acknowledge helpful discussion with Román E. Castro-Rodríguez, Brian Davies, and Mario Fariás. This work was supported by the Consejo Nacional de Ciencia y Tecnología (CONACyT-México) under the Project No. 211085-5-2006E.

¹D. L. Pulfrey, IEEE Trans. Electron Devices **ED-25**, 1308 (1976).

²R. J. Sijm and Y. C. M. Yeh, IEEE Trans. Electron Devices **ED-24**, 476 (1977).

³J. Shewchun, D. Burk, and M. B. Spitzer, IEEE Trans. Electron Devices **ED-27**, 705 (1980).

⁴K. K. Ng and H. C. Card, IEEE Trans. Electron Devices **ED-27**, 716 (1980).

⁵R. Singh, M. A. Green, and K. Rajkanan, Solar Cells, **3**, 95 (1981).

⁶C. J. Huang, Y. K. Su, and R. L. Leu, J. Appl. Phys. **69**, 2335 (1991).

⁷R. A. B. Devine, J. Appl. Phys. **66**, 4702 (1989).

⁸C. S. Pai and C. P. Chang, J. Appl. Phys. **68**, 793 (1990).

⁹A. Masuda, A. Morimoto, M. Kumeda, T. Shimizu, Y. Yanozawa, and T. Minamikawa, Appl. Phys. Lett. **61**, 816 (1992).

¹⁰T. L. Chu, S. S. Chu, and S. T. Ang, J. Appl. Phys. **58**, 3206 (1985).

¹¹T. S. Sun, S. P. Buchner, and N.E. Byer, J. Vac. Sci. Technol. **17**, 1067 (1980).

¹²H. Arwin and D. E. Aspnes, J. Vac. Sci. Technol. A **2**, 1316 (1984).

¹³D. E. Aspnes and H. Arwin, J. Vac. Sci. Technol. A **2**, 1309 (1984).

¹⁴Antonio J. Ricco, Henry S. White, and Mark S. Wrighton, J. Vac. Sci. Technol. A **2**, 910 (1984).

¹⁵U. Solzbach and H. J. Richter, Surf. Sci. **97**, 191 (1980).

¹⁶G. D. Davis, T. S. Sun, S. P. Buchner, and N. E. Byer, J. Vac. Sci. Technol. **19**, 472 (1981).

¹⁷S. S. Choi and G. Lucovsky, J. Vac. Sci. Technol. B **6**, 1198 (1988).

¹⁸F. J. Espinoza-Beltrán *et al.*, Jpn. J. Appl. Phys. **30**, L1715 (1991).

¹⁹Practical Surface Analysis by Auger and X-ray Photoelectron Spectroscopy, edited by D. Briggs and M. P. Seah (Wiley, Chichester, 1983).

²⁰Methods of Surface Analysis, edited by A. W. Czanderna (Elsevier, Amsterdam, 1975).

²¹F. J. Espinoza-Beltrán, O. Zelaya-Angel, F. Sánchez-Sinencio, J. G. Mendoza-Alvarez, M. H. Fariás, and L. Baños (to be published).

²²C. R. Helms, J. Vac. Sci. Technol. A **8**, 1178 (1990).

²³D. S. Robertson, N. Shaw, and I. M. Young, J. Mater. Sci. **13**, 1986 (1978).

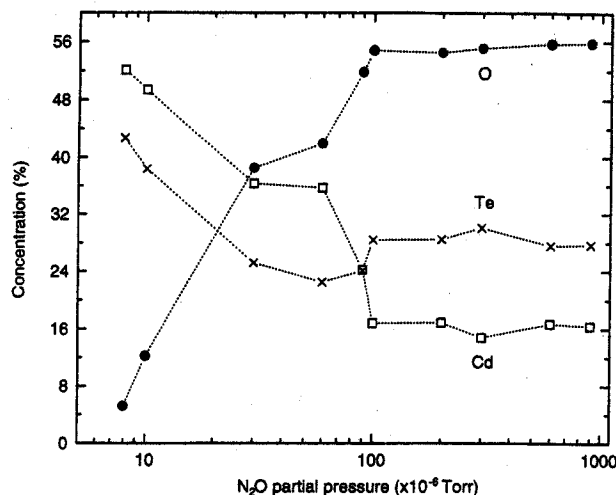


FIG. 1. Atomic concentration in the CdTe:O samples as measured by AES vs N₂O partial pressure.

with oxygen (at about 55 at. %) it is transparent like a glass. In all samples the x-ray diffraction patterns show an amorphous character with the incorporation of oxygen in the CdTe lattice. The films are completely amorphous maybe as a consequence of a homogeneous mixture of the three elements present, indicating the absence of segregated phases. In Fig. 1 we show the results from AES analysis for the atomic concentration of detected elements as a function of the N₂O partial pressure. As the N₂O partial pressure increases from 8×10^{-6} to 6×10^{-5} Torr, the concentration of oxygen increases while Te and Cd decrease, with the amount of Cd greater than Te. At an N₂O partial pressure of 9×10^{-5} Torr, the oxygen continues increasing and the amount of Cd and Te become equal. At an N₂O partial pressure of 1×10^{-4} Torr and up the samples are saturated with oxygen (about 55 at. %). The concentration of Cd and Te remain stable, and the concentration of Te is greater than Cd. We cannot grow a film with more oxygen, as shown in Fig. 1.

Additionally, a film was grown without N₂O. This film is CdTe with 47.8 at. % Cd, 48.5 at. % Te, and 3.6 at. % O. This film is polycrystalline with two different phases, cubic and hexagonal, giving evidence that the presence of oxygen induces structural changes disordering the original lattice.

In order to analyze the nature of the bonding of Cd, Te, and O atoms in the films these were studied by XPS and x-ray AES. We are interested in the qualitative and quantitative nature of bonding of the three elements. Figure 2 shows the multiplex scans for the films grown with different N₂O partial pressures, for the Te 3d_{5/2} and 3d_{3/2} features. From the literature,^{19,20} we associated the peaks with chemical states of Te. In this case, a chemical shift of about 3.2 eV between the Te-Cd in the semiconductor and Te-O in the anodic oxide allows the two states to be measured independently, based on previously reported XPS studies of Te-Cd bonding in CdTe and Te-O bonding in TeO₂, TeO₃, Na₂TeO₄, etc.^{19,20} In Fig. 2 we show the spectral changes in the Te 3d_{5/2} and Te 3d_{3/2} features for the different partial pressures of N₂O and we present the oxygen concentration for the films. In this

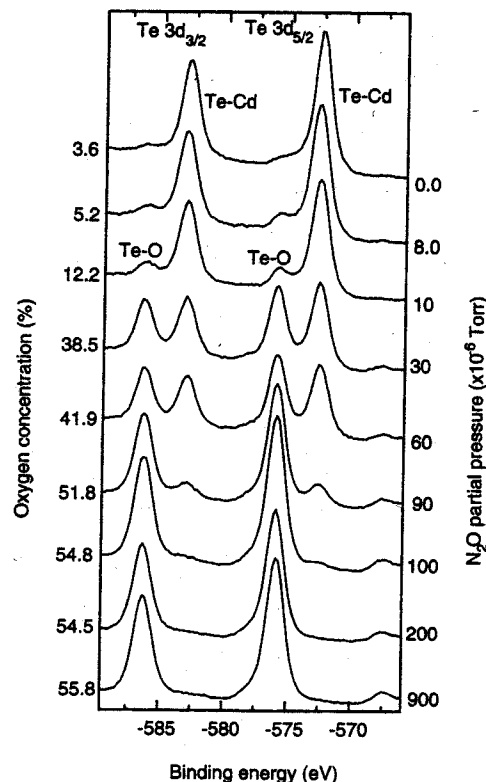


FIG. 2. Te 3d XPS spectra vs oxygen concentration and N₂O partial pressure for CdTe:O.

figure the peaks related to Te-Cd bonds decrease and the peaks related to Te-O bonds increase with the partial pressure of N₂O. At a partial pressure of 1×10^{-4} Torr the Te-Cd bonds disappear and the films only contain Te-O bonds.

In order to analyze the quantitative nature of bonding of Te, we fitted a gaussian curve to the Te 3d_{5/2} peaks and estimated the amount of Te-Cd and Te-O bonds from the percent of the area of each peak (Te-Cd and Te-O) in the Te 3d_{5/2} peaks. The variation of content of Te-Cd and Te-O bonds with the oxygen concentration is shown in Fig. 3. This figure shows that when the oxygen increases from about 5 to about 42 at. %, the Te-Cd bonds decrease from ~84 to ~48 at. % and Te-O bonds increase from ~12 to ~52 at. %. For an oxygen concentration ~52 at. %, Te-Cd bonds are ~9 at. %, and Te-O bonds are 81 at. %. At an oxygen concentration ~55 at. % there exist only Te-O bonds.

Figure 4 shows the Cd M₄₅N₄₅N₄₅ and M₅N₄₅N₄₅ x-ray AES features (i.e., x-ray induced Auger transitions involving the M and N levels of Cd). We studied these features instead of XPS features because the splitting between Cd-Te and Cd-O core levels, as revealed in the XPS spectra, is considerably smaller than the splitting between the Te-Cd and Te-O satellite components of the core levels.^{19,20} We do not find any oxide-induced doublet structures, possibly because we do not have sufficient resolution in the PHI 560 instrument to resolve them, and as such we have used x-ray AES features to discriminate between Cd-Te and Cd-O bonding.¹⁵⁻¹⁷ The Cd MNN x-ray AES features are at different energies for Cd-Te and Cd-O bonding in CdTe and CdO